



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**Applicant(s):** Amy Ruth Reibman et al  
**Attorney Docket No.:** 2000-0639  
**Application No.:** 09/683122  
**Filing Date:** 11/21/2001  
**Examiner Name:**  
**Group Art Unit:** 2152  
**Title:** Scalable Video Encoder/Decoder With Drift Control

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
Box: Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted

Enclosed is the Declaration and Power of Attorney relating to the above-identified application.

Please charge **AT&T Corp. Deposit Account No. 01-2745** in the amount of \$130.00 to cover the filing fee surcharge. A duplicate copy of this letter is enclosed. In the event of any non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **AT&T Corp. Deposit Account No. 01-2745** as required to correct the error.

A copy of PTO-1533, Notice to File Missing Parts of Application, is also enclosed.

Date: Jan. 2, 2002

By: 

Benjamin S. Lee

Attorney for Applicant(s)

Reg. No.: 42787

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AT&T CORP.

P.O. Box 4110

Middletown, New Jersey 07748-4110

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/683122  
 Filing Date 11/21/2001  
 First Named Inventor Amy Ruth Reibman  
 Group Art Unit 2152  
 Examiner Name  
 Attorney Docket Number 2000-0639

Total Number of Pages in this Submission 12

## Enclosures (check all that apply)

- ☒ Fee Transmittal  
☐ Fee Attached  
☐ Amendment / Response  
☐ After Final  
☐ Affidavits / Declaration(s)  
☐ Extension of Time Request  
☐ Express Abandonment Request  
☐ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☒ Response to Missing Parts under 37 CFR 1.52 or 1.53  
☐ Response to Missing Parts/Incomplete Application

- ☐ Assignment & Recordation Cover Sheet  
☐ Drawing(s) & Letter to Official Draftsman  
☐ Licensing-related Papers  
☐ Petition to the Commissioner  
☐ Petition to Convert a Provisional Application  
☐ Power of Attorney, Revocation Change of Correspondence Address  
☐ Terminal Disclaimer  
☐ Request for Refund

- ☐ After Allowance Communication to Group  
☐ Appeal Communications to Board of Appeals and Interferences  
☐ Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)  
☐ Proprietary Information  
☐ Status Letter  
☒ Return Receipt Postcard  
☐ CD, Number of CDs  
☒ Additional enclosure(s) (please identify below)

**COPY OF PAPERS  
 ORIGINALLY FILED**

Declaration and revised Application Data Sheet

Remarks

## CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

Customer Number - 26652

or ☒ Correspondence address below

NAME Samuel H. Dworesky  
 ADDRESS AT&T CORP. P.O. Box 4110  
 CITY Middletown STATE New Jersey ZIP CODE 07748-4110  
 COUNTRY United States of America FAX 732-368-6932

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME Benjamin S. Lee Reg. # 42787  
 TELEPHONE 908-221-5438  
 SIGNATURE *[Signature]* DATE 1/2/2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage thereon as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 1/2/2002

Type or Printed Name Nancy C. Marcovici

Signature *[Signature]*

Date 1/2/2002

SEND TO: Commissioner for Patents, Washington, D.C. 20231

**FEE TRANSMITTAL**

Patent Fees are subject to annual revision.

**TOTAL AMOUNT  
OF PAYMENT** **\$130**

Application Number		09/683122
Filing Date		11/21/2001
First Named Inventor		Amy Ruth Reibman
Examiner Name		
Group/Art Unit		2152
Attorney Docket No.		2000-0639

**METHOD OF PAYMENT (check one)**

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-2745  
 Deposit Account Name AT&T CORP.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

**FEE CALCULATION****1. FILING FEE**

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
101	740	Utility(37CFR 1.53(b)) / CPA(37CFR 1.53(d)) Filing Fee	
106	330	Design Filing Fee	
108	740	Reissue Filing Fee	
114	160	Provisional Filing Fee	
<b>SUBTOTAL (1)</b>			<b>0</b>

**2. CLAIMS**

- ☐ Filing Under 37CFR 1.53 (b)  
☐ CPA Under 37CFR 1.53 (d)  
☐ Amendment

	Extra Claims		Fee from below		Fee Paid
Total	- 20 =	0	x	18	= 0
Ind.	- 3 =	0	x	84	= 0
Multiple Dependent Claims					= 0

Large Fee Code	Entity Fee(\$)	Fee Description
103	18	Claims in excess of 20
102	84	Independent Claims in excess of 3
104	280	Multiple Dependent Claims
109	84	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent

\*\* or number previously paid, if greater; for Reissues, see above

**SUBTOTAL (2)** **0****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	130
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2520	For filing a request for reexamination	
112*	920	Requesting publication of SIR prior to Examiner action	
113*	1840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1440	Extension for reply within fourth month	
128	1960	Extension for reply within fifth month	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
195	300	Publication fee	
140	110	Petition to revive - unavoidable	
141	1280	Petition to revive - unintentional	
142	1280	Utility issue fee (or reissue)	
143	460	Design issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	180	Submission of Information Disclosure Statement	
581	40	Recording each patent assignment per property (times number of properties)	
146	740	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	Request for Continued Examination (RCE)	
169	900	Request for expedited exam of a design application	
Other fee (specify):			
<b>SUBTOTAL(3)</b>			<b>130</b>

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Typed or Printed Name Benjamin S. Lee

Signature

Date

1/1/2002

Deposit Account User ID

**Complete (if applicable)**

Reg. Number 42787

SEND TO: Commissioner for Patents, Washington, D.C. 20231

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/683 122	11/21/2001	Amy Ruth Reibman	2000-0639

26652  
AT&T CORP.  
P.O. BOX 4110  
MIDDLETOWN, NJ 07748

CONFIRMATION NO. 9332

## FORMALITIES LETTER



\*OC000000007133076\*

COPY OF PAPERS  
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Date Mailed: 11/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE